

Electron Correlations in Metals: Spectral function, magnetic susceptibility and specific heat of Anderson impurity

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Outline

- 1 Electrons in metals - 1P approach
 - Models and static mean field
 - Dynamics: Baym-Kadanoff self-consistency
 - Schwinger-Dyson equation vs. Ward identity
- 2 Electron in metals - 2P approach
 - Bethe-Salpeter and parquet equations
 - Linearized Ward identity
 - Effective-interaction approximation
- 3 Numerical results in the Kondo regime of SIAM
- 4 Conclusions



Electron correlations – quantum criticality

- **Electrons** – Quantum statistics (Fermions & Pauli principle)
- Equilibrium static thermodynamic potential: **incomplete**
– dynamical **Green functions** needed
- Quantum order parameters with nontrivial structure
– complex phase (1P **fermionic nonsingular** GF)
- Response functions from 2P GF (**bosonic singular**)
- **Critical (2P) & noncritical (1P) functions coupled**
non-universal behavior (bosonic & fermionic functions mixed up)

Macroscopic conservation laws (Ward identities)
not practically compatible with
microscopic dynamics (Schrödinger equation)

Hamiltonian & external perturbation

Tight-binding description: Conduction electrons in a periodic lattice

Screened Coulomb & external perturbation (nonequilibrium) \hat{H}_{ext}

$$\hat{H}_\mu = \sum_{\mathbf{k}\sigma} \epsilon(\mathbf{k}) c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + U \sum_{\mathbf{i}} \hat{n}_{\mathbf{i}\uparrow} \hat{n}_{\mathbf{i}\downarrow} - \sum_{\mathbf{i}\sigma} \mu_\sigma \hat{n}_{\mathbf{i}\sigma} + \hat{H}_{ext}$$

$$\hat{H}_{SIAM} = \sum_{\mathbf{k}\sigma} \epsilon(\mathbf{k}) c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + \sum_{\mathbf{k}\sigma} \left(V_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger d_\sigma + H.c. \right) + E_d \sum_{\sigma} d_\sigma^\dagger d_\sigma + U \hat{n}_\uparrow^d \hat{n}_\downarrow^d$$

$$\Omega[G^{(0)-1}, H] = -\beta^{-1} \log \text{Tr} \left[\exp \left\{ -\beta \left(\hat{H}_0 - \mu \hat{N} + \underbrace{\hat{H}_I + \hat{H}_{ext}}_{\text{perturbation}} \right) \right\} \right]$$

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Hartree static mean-field approximation

- Generating functional

$$\frac{1}{N} \Omega[n_{\uparrow}, n_{\downarrow}] = -Un_{\uparrow}n_{\downarrow} - \frac{1}{\beta N} \sum_{\sigma, \omega_n, \mathbf{k}} e^{i\omega_n 0^+} \ln [i\omega_n + \mu + \sigma h - \epsilon(\mathbf{k}) - Un_{-\sigma}]$$

- Spectral function

$$G_{\sigma}(\omega) = \rho_0(\omega + \mu + \sigma h - Un_{-\sigma})$$

- Magnetic susceptibility (zero field, spin symmetric solution)

$$\chi = -\frac{2\phi(0)}{1 + U\phi(0)}$$

with $\phi(\omega) = -\int_{-\infty}^{\infty} \frac{dx}{\pi} f(x) (G(x+\omega) + G(x-\omega)) \Im G(x)$

- Total energy

$$E^{TOT} = -\sum_{\sigma} \int_{-\infty}^{\infty} f(\omega) (\omega + \mu) \Im G_{\sigma}(\omega) - Un_{\uparrow}n_{\downarrow}$$

Correlation effect only in susceptibility



Beyond mean-field - dynamical corrections

- Full vertex function from response function - **ward identity**

$$\Gamma(\omega) = \frac{U}{1 + U\phi(\omega)}$$

- Spectral self-energy from **Schwinger-Dyson equation**

$$\Sigma(\omega) = U \int_{-\infty}^{\infty} \frac{dx}{\pi} \{ b(x) G(x + \omega) \Im [\phi(x) \Gamma(x)] - f(x) \phi^*(x - \omega) \Gamma^*(x - \omega) \Im G(x) \}$$

- Magnetic susceptibility with spectral self-energy

$$\chi = -2 \int_{-\infty}^{\infty} \frac{d\omega}{\pi} b(\omega) \Im \left[G(\omega - \Sigma(\omega))^2 \left(1 - \frac{UX(\omega)}{1 + U\phi(0)} \right) \right]$$

- Total energy

$$E^{TOT} = -2 \int_{-\infty}^{\infty} f(\omega) \omega \Im G(\omega - \Sigma(\omega)) - Un^2 - U \int_{-\infty}^{\infty} b(\omega) \Im [\phi(\omega)^2 \Gamma(\omega)]$$



1P self-consistent perturbation theory

- Renormalized generating Luttinger-Ward functional
 - “Legendre transform” of the thermodynamic potential

$$\Phi[G, H] = \Omega[G^{(0)-1}, H] - \int d\bar{1} \left(G^{(0)-1}(1, \bar{1}) - G^{-1}(1, \bar{1}) \right) G(\bar{1}, 1')$$

- 1P Green function and self-energy (equilibrium)

$$G^\alpha(12) = \left. \frac{\delta\Phi[G, H]}{\delta H_{\bar{\alpha}}(2, 1)} \right|_{H=0}, \quad \Sigma^\alpha(12) = \frac{\delta\Phi[G, 0]}{\delta G_{\bar{\alpha}}(2, 1)}$$

- 2P Green and irreducible vertex functions (equilibrium)

$$G^{\bar{\alpha}\alpha}(13, 24) = \left. \frac{\delta^2\Phi[G, H]}{\delta H_\alpha(4, 3)\delta H_{\bar{\alpha}}(2, 1)} \right|_{H=0}, \quad \Lambda^{\bar{\alpha}\alpha}(13, 24) = \frac{\delta^2\Phi[G, 0]}{\delta G_\alpha(4, 3)\delta G_{\bar{\alpha}}(2, 1)}$$

- Diagrammatic expansion for $\Phi[G]$



Baym-Kadanoff thermodynamic consistency

- Generating stationary functional with electrons G and holes \bar{G}

$$\frac{2}{N}\Omega[\Sigma, G, \bar{\Sigma}, \bar{G}] = \Phi[U; G, \bar{G}] - \frac{1}{\beta N} \sum_{\sigma n, \mathbf{k}} \left\{ e^{i\omega_n 0^+} \ln [i\omega_n + \mu_\sigma - \epsilon(\mathbf{k}) - \Sigma_\sigma(\mathbf{k}, i\omega_n)] + e^{-i\omega_n 0^+} \ln [-i\omega_n + \mu_\sigma - \epsilon(-\mathbf{k}) - \bar{\Sigma}_\sigma(-\mathbf{k}, -i\omega_n)] + G_\sigma(\mathbf{k}, i\omega_n) \bar{\Sigma}_\sigma(-\mathbf{k}, -i\omega_n) + \bar{G}_\sigma(-\mathbf{k}, -i\omega_n) \Sigma_\sigma(\mathbf{k}, i\omega_n) \right\}$$

- Thermodynamic consistency: 1P and 2P irreducible vertices from the generating functional

$$\Sigma_\sigma[U; G, \bar{G}] = \frac{\delta \Phi[U; G, \bar{G}]}{\delta \bar{G}_\sigma}, \quad \Lambda_{\sigma-\sigma}^{eh}[U; G, \bar{G}] = \frac{\delta \Sigma_\sigma[U; G, \bar{G}]}{\delta \bar{G}_{-\sigma}}$$

- Bethe-Salpeter equation for 2P vertex (equilibrium)

$$\Gamma_{\sigma-\sigma}[U; G, \bar{G}] = \Lambda_{\sigma-\sigma}^{eh}[U; G, \bar{G}] - \Lambda_{\sigma-\sigma}^{eh}[U; G, \bar{G}] G_\sigma \bar{G}_{-\sigma} * \Gamma_{\sigma-\sigma}[U; G, \bar{G}]$$



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- Generating stationary functional with electrons G and holes \bar{G}

$$\begin{aligned} \frac{2}{N} \Omega[\Sigma, G, \bar{\Sigma}, \bar{G}] = & \Phi[U; G, \bar{G}] - \frac{1}{\beta N} \sum_{\sigma n, \mathbf{k}} \left\{ e^{i\omega_n 0^+} \ln [i\omega_n + \mu_\sigma - \epsilon(\mathbf{k}) \right. \\ & \left. - \Sigma_\sigma(\mathbf{k}, i\omega_n)] + e^{-i\omega_n 0^+} \ln [-i\omega_n + \mu_\sigma - \epsilon(-\mathbf{k}) - \bar{\Sigma}_\sigma(-\mathbf{k}, -i\omega_n)] \right. \\ & \left. + G_\sigma(\mathbf{k}, i\omega_n) \bar{\Sigma}_\sigma(-\mathbf{k}, -i\omega_n) + \bar{G}_\sigma(-\mathbf{k}, -i\omega_n) \Sigma_\sigma(\mathbf{k}, i\omega_n) \right\} \end{aligned}$$

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- Dyson equation for 1P GF

$$G^\alpha(1, 2) = G^{(0)}(1 - 2) + \sum_{3,4} G^{(0)}(1 - 3) \Sigma^\alpha(3, 4) G^\alpha(4, 2)$$

- Bethe-Salpeter equation for 2P vertex (equilibrium)



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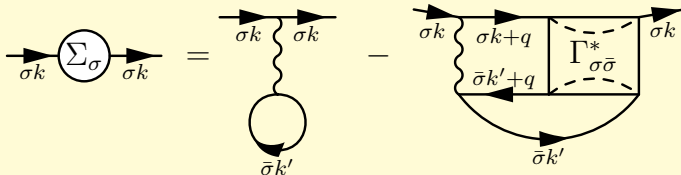
$$\Gamma_{\sigma-\sigma}[U; G, \bar{G}] = \Lambda_{\sigma-\sigma}^{eh}[U; G, \bar{G}] - \Lambda_{\sigma-\sigma}^{eh}[U; G, \bar{G}] G_\sigma \bar{G}_{-\sigma} * \Gamma_{\sigma-\sigma}[U; G, \bar{G}]$$

Schwinger-Dyson equation & alternative vertex

- **Schwinger-Dyson equation** – microscopic quantum dynamics

$$\Sigma_{\sigma}[U; G, \bar{G}] = U \langle \bar{G}_{-\sigma} \rangle - U G_{\sigma} \bar{G}_{-\sigma} \star \Gamma_{\sigma-\sigma}^*[U; G, \bar{G}] \circ G_{-\sigma}$$

- **Diagrammatic representation**



- **Functional derivative - Ward identity & SDE**

$$\Lambda_{\sigma-\sigma}^{eh} = \frac{\delta \Sigma_{\sigma}[U; G, \bar{G}]}{\delta \bar{G}_{-\sigma}} = U - U [1 + G_{\sigma} \bar{G}_{-\sigma} \Lambda_{\sigma-\sigma}^{eh} \star]^{-1} G_{\sigma} \left\{ \Lambda_{\sigma-\sigma}^{eh} + \bar{G}_{-\sigma} \frac{\delta \Lambda_{\sigma-\sigma}^{eh}}{\delta \bar{G}_{-\sigma}} \right\} [1 + \star G_{\sigma} \bar{G}_{-\sigma} \Lambda_{\sigma-\sigma}^{eh}]^{-1} \circ G_{-\sigma}$$



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Conservation of charge source in correlated electrons

- Coulomb repulsion: $U \sim e^2/R^{\text{eff}}$
- Charge carried by the present electrons: U and n related
- **Sum rule** (local compressibility ξ susceptibility)

$$\frac{\partial \Omega(U, \mu_{i\sigma})}{\partial U} = \sum_{\mathbf{i}} \left[\frac{\delta^2 \Omega}{\delta \mu_{i\uparrow} \delta \mu_{i\downarrow}} + \frac{\delta \Omega}{\delta \mu_{i\uparrow}} \frac{\delta \Omega}{\delta \mu_{i\downarrow}} \right] = \sum_{\mathbf{i}} \left\{ \frac{T}{4} [\kappa_{ii} - \chi_{ii}] + n_{i\uparrow} n_{i\downarrow} \right\}$$

- Dynamical interaction $U(\mathbf{q}, i\nu_m)$
- **Consistency** - dynamical charge conservation ($\delta U = \delta[e^2/r]$)

$$\underbrace{\frac{\delta \Phi[U, G]}{\delta U(\mathbf{q}, i\nu_m)}}_{\text{Schwinger-Dyson}} = - \underbrace{\frac{1}{\beta N} \sum_{\mathbf{k}, n} \frac{\delta G_{\sigma}(\mathbf{k} + \mathbf{q}, i\omega_n + i\nu_m)}{\delta \mu_{-\sigma}(\mathbf{k}, i\omega_n)}}_{\text{Ward}}$$

WI ξ SD may hold simultaneously in full exact but in no approximate (even asymptotically exact) theory



Thermodynamic consistency in quantum criticality

- Two definitions of response (correlation) functions:
 - Linear response function (disordered phase): $\delta\Omega/\delta U$
 - Derivative of the order parameter (ordered phase): $\delta^2\Omega/\delta\mu^2$
- Baym & Kadanoff thermodynamic consistency (paradigm):
 - Generating functional $\Phi[G]$
 - All quantities expressed in terms of the renormalized 1P propagator G (Dyson equation)
 - Equilibrium solution: Perturbation expansion for $\Sigma[G]$
 - Quantum criticality – singularity in vertex Γ from SDE
 - Charge not conserved: LRO in 1P self-energy (WI) does not emerge at the critical point of 2P vertex (SDE)
- Single self-energy in BK scheme leads to two vertex functions

Consistent quantum criticality with only
a single divergent 2P vertex

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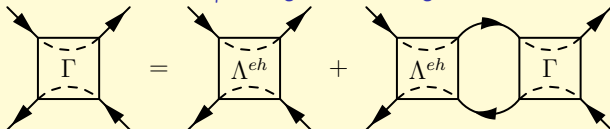
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Fundamental scattering channels

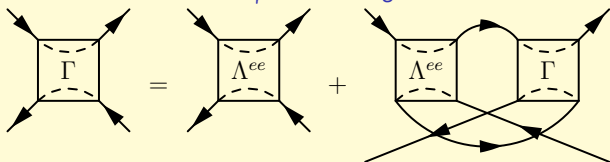
Perturbation expansion for 2P vertex functions

- Multiple scatterings - electron-hole & electron-electron

- Electron-hole multiple singlet scatterings



- Electron-electron multiple scatterings



- Mixing the channels - 2P self-consistency

vertex Γ divergent at the critical point



Parquet equations

- Channel-dependent decompositions of the full vertex:

$$\Gamma_{\sigma\sigma'} = \Lambda_{\sigma\sigma'}^{ee} + \mathcal{K}_{\sigma\sigma'}^{ee} = \Lambda_{\sigma\sigma'}^{eh} + \mathcal{K}_{\sigma\sigma'}^{eh}$$

- Fully irreducible vertex (diagrammatically): $\mathcal{I} = \Lambda^{eh} \cap \Lambda^{ee}$
- Existence (applicability) of the parquet decomposition:

$$\mathcal{K}^{ee} \cap \mathcal{K}^{eh} = \emptyset$$

- Fundamental parquet decomposition:

$$\Gamma = \mathcal{I} \cup \mathcal{K}^{ee} \cup \mathcal{K}^{eh} = \Lambda^{eh} \cup \Lambda^{ee} \setminus \mathcal{I} = \mathcal{I} + \mathcal{K}^{eh} + \mathcal{K}^{ee} = \Lambda^{ee} + \Lambda^{eh} - \mathcal{I}$$

- Parquet equations:

$$\Lambda^{eh} = U - [\Lambda^{ee} GG] \circ [\Lambda^{eh} + \Lambda^{ee} - U]$$

$$\Lambda^{ee} = U - [\Lambda^{eh} GG] \star [\Lambda^{ee} + \Lambda^{eh} - U]$$

- vertex Λ^{ee} may be divergent with repulsive interaction



Reduced parquet equations – 2P self-consistency

- Reduction of the parquet self-consistency in BS equations
- Nonsingular irreducible vertex (eh-channel)

$$\Lambda_{\uparrow\downarrow}(k, k'; Q) = U - \frac{1}{\beta N} \sum_{k''} K_{\uparrow\downarrow}(k, k''; Q - k - k'') \times G_{\uparrow}(k'') G_{\downarrow}(Q - k'') \Lambda_{\uparrow\downarrow}(k'', k'; Q)$$

- Singular reducible vertex (ee-channel)

$$K_{\uparrow\downarrow}(k, k'; q) = -\frac{1}{\beta N} \sum_{k''} \Lambda_{\uparrow\downarrow}(k, k''; q + k + k'') G_{\uparrow}(k'') G_{\downarrow}(q + k'') \times [K_{\uparrow\downarrow}(k'', k'; Q) + \Lambda_{\uparrow\downarrow}(k, k''; q + k'' + k') - U]$$

- Full vertex

$$\Gamma_{\uparrow\downarrow}(k, k'; q) = \Lambda_{\uparrow\downarrow}(k, k'; q + k + k') + K_{\uparrow\downarrow}(k, k'; q)$$



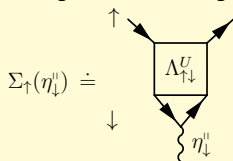
1P propagators in the 2P approach

- Self energy from **Schwinger-Dyson equation**
 - Determines the microscopic dynamics
 - Self-energy from SDE does not break symmetry at critical points of Bethe-Salpeter equation
 - Solution breaks down beyond the critical point (singularity in Bethe-Salpeter equation)
- Self-energy from **Ward identity**
 - Controls macroscopic thermodynamics
 - Guarantees thermodynamic consistency between self-energy and Bethe-Salpeter equation
 - Full dynamical **WI** cannot be resolved – must be approximated

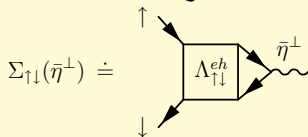
Qualitative consistency: LRO (1PGF) emerges at the critical point of 2P GF

Linearization in symmetry breaking field

- Repulsive particle interaction – electron-hole scattering dominant
- Linear-response theory – weak external magnetic perturbation
- Longitudinal magnetic order (*eh* bubbles): normal self-energy

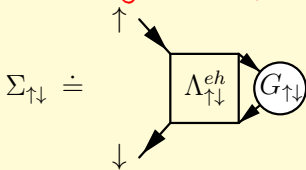


- Transversal (spin flip) magnetic order (*eh* ladders): self-energy anomalous only in the spin-polarized state



Linearized Ward identity

- WI resolved only linearly w.r.t. the symmetry-breaking field
 - only normal component in disordered phase
- Irreducible vertex depends on even powers of the perturbing field
- Critical point in the spin-symmetric state ($G_{\uparrow} = G_{\downarrow}$)
- Linearized WI in the external magnetic field
 - thermodynamic self-energy



- Mathematical expression

$$\Sigma_{\uparrow\downarrow}^T(k) = \frac{1}{\beta N} \sum_{k'} \Lambda(k, k'; k + k') G_{\uparrow\downarrow}^T(k')$$

with $\Lambda = (\Lambda_{\uparrow\downarrow} + \Lambda_{\downarrow\uparrow})/2$



Schwinger-Dyson equation - spectral self-energy

- **Linearized WI:** symmetry of the self-energy gets broken at the divergence in the BSE for a zero eigenvalue of

$$M_{k,k'} = \delta_{k,k'} + \Lambda(k, k'; k + k') G^T(k) G^T(k')$$

- 1P propagators should use Σ^T from LWI in all equations with 2P functions: BSE, SDE

Schwinger-Dyson equation with $\Gamma_{\sigma\sigma'}$ and G_{σ} from the Bethe-Salpeter equations determines the physical (spectral) self-energy

$$\Sigma_{\uparrow}(k) = -\frac{U}{\beta^2 N^2} \sum_{k'k''} G_{\downarrow}^T(k) G_{\downarrow}^T(k'') G_{\uparrow}^T(k + k' - k'') \Gamma^T(k', k; k - k')$$



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Effective interaction – defining equations

■ 1P propagator: $G_\sigma(\omega) = [\omega - E_d - \frac{U}{2} - \Lambda(\frac{1}{2} - n_{-\sigma}^T) + i\Delta]^{-1}$

with $n_\sigma^T = -\int_{-\infty}^{\infty} \frac{d\omega}{\pi} f(\omega) \Im G_\sigma(\omega)$, $\Lambda = (\Lambda_\uparrow + \Lambda_\downarrow)/2$

■ Real effective interaction:

$$\Lambda_\sigma = \frac{U}{1 + \Psi_\sigma[\Lambda]}$$

■ Screening factor

$$\Psi_\sigma[\Lambda] = \frac{\Lambda^2}{\pi} \int_{-\infty}^{\infty} d\omega \left\{ f(\omega) \Re \left[\frac{\phi_\sigma(-\omega - i\pi T)}{1 + \Lambda \phi_\sigma(-\omega - i\pi T)} \right] \Im [G_\sigma(\omega) G_{-\sigma}^*(-\omega)] \right. \\ \left. - b(\omega) \Im \left[\frac{\phi_\sigma^*(-\omega)}{1 + \Lambda \phi_\sigma^*(-\omega)} \right] \times \Re [G_\sigma(\omega - i\pi T) G_{-\sigma}(-\omega + i\pi T)] \right\}$$

■ Electron-hole bubble

$$\phi_\sigma(E) = -\int_{-\infty}^{\infty} \frac{d\omega}{\pi} f(\omega) [G_{-\sigma}(\omega + E) \Im G_\sigma(\omega) + G_\sigma(\omega - E) \Im G_{-\sigma}(\omega)]$$

From: [Dobson, Fierz, Lipka, Lipka] From: [Dobson, Fierz, Lipka, Lipka]



Effective interaction – spectral function

■ Impurity Green function

$$G_{\sigma}(\omega) = \frac{1}{\omega - E_d - Un_{-\sigma} + i\Delta - \Sigma_{\sigma}(\omega)}$$

■ Particle density – HF self-consistency: $n_{\sigma} = \int_{-\infty}^{\infty} \frac{d\omega}{\pi} f(\omega) \Im G_{\sigma}(\omega)$

■ Spectral (physical) self-energy

$$\Sigma_{\sigma}(\omega) = \frac{U\Lambda}{\pi} \int_{-\infty}^{\infty} dx \left\{ b(x) G_{-\sigma}(x + \omega) \Im \left[\frac{\phi_{\sigma}(x)}{1 + \Lambda\phi_{\sigma}(x)} \right] - f(x) \frac{\phi_{\sigma}^*(x - \omega)}{1 + \Lambda\phi_{\sigma}^*(x - \omega)} \Im G_{-\sigma}(x) \right\}$$

■ Full 2P vertex

$$\Gamma_{\sigma}(\omega) = \frac{\Lambda}{1 + \Lambda\phi_{\sigma}(\omega)}$$



Effective interaction – magnetic susceptibility

- Spin susceptibility ($h = 0$)

$$\chi = \frac{1}{\beta} \sum_n \left[\frac{\partial G_{\uparrow}(i\omega_n)}{\partial h} - \frac{\partial G_{\downarrow}(i\omega_n)}{\partial h} \right] = -\frac{2}{\beta} \sum_n G(i\omega_n)^2 \left[1 - \frac{\partial \Sigma_{\uparrow}(i\omega_n)}{\partial h} \right]$$

- Derivative of the (thermodynamic) self-energy

$$\left\{ 1 - \Lambda \int_{-\infty}^{\infty} \frac{d\omega}{\pi} f(\omega) \Im [G(\omega)^2] \right\} \frac{d\Sigma_{\uparrow}^T}{dh} = \Lambda \phi(0)$$

- Magnetic susceptibility

$$\chi = -\frac{2}{\beta} \sum_n G(i\omega_n)^2 \left[1 - \frac{UX(i\omega_n)}{1 + \Lambda \phi(0)} \right]$$

- Quantum criticality:** Kondo scale $a = 1 + \Lambda \phi(0) \ll 1$



Effective interaction – specific heat

■ Total energy

$$E^{TOT} = - \sum_{\sigma} \int_{-\infty}^{\infty} f(\omega) (\omega - U n_{-\sigma}) \Im \mathcal{G}_{\sigma}(\omega) + U n_{\uparrow} n_{\downarrow} - U \Lambda \int_{-\infty}^{\infty} b(\omega) \Im \left[\frac{\phi_{\uparrow}(\omega)^2}{1 + \Lambda \phi_{\uparrow}(\omega)} \right]$$

■ Correlation term via spectral self-energy

$$E^{TOT} = - \sum_{\sigma} \int_{-\infty}^{\infty} f(\omega) \omega \Im \mathcal{G}_{\sigma}(\omega) - U n_{\uparrow} n_{\downarrow} + \int_{-\infty}^{\infty} f(\omega) \Im [G_{\uparrow}(\omega) \Sigma_{\uparrow}(\omega)]$$

■ Specific heat

$$C_V = \frac{\partial E^{TOT}(T)}{\partial T} = \gamma(T) T$$

Spectral function & specific heat share quantum criticality of magnetic susceptibility



Effective interaction – Kondo critical regime I

- Kondo asymptotics $a = 1 + \Lambda\phi(0) \ll 1$

$$K(\omega) \doteq \frac{\Lambda}{1 + \Lambda\phi(0) - i\Lambda\phi'\omega},$$

- Screening factor

$$\psi = -\Lambda \int_{-\infty}^0 \frac{d\omega}{\pi} \Im \left[\frac{G(\omega)G^*(-\omega)}{a - i\Lambda\phi'\omega} \right] \doteq \frac{[\Im G(0)]^2 |\ln a|}{\pi\phi'} = |\ln a|$$

- Kondo asymptotics: $a = \exp\{-U\rho_0\}$



Effective interaction – Kondo critical regime II

■ Spectral self-energy

$$\Re\Sigma^{(2)}(\omega) \doteq \frac{U}{\Lambda\pi^2\rho_0^2} \left[|\ln a| \Re G(\omega) + \arctan\left(\frac{\Lambda\pi\rho_0^2\omega}{a}\right) \Im G(\omega) \right]$$

$$\Im\Sigma^{(2)}(\omega) \doteq \frac{U}{2\Lambda\pi^2\rho_0^2} \ln \left[1 + \frac{\Lambda^2\pi^2\rho_0^4\omega^2}{a^2} \right] \Im G(\omega)$$

■ Thermodynamic and spectral susceptibilities

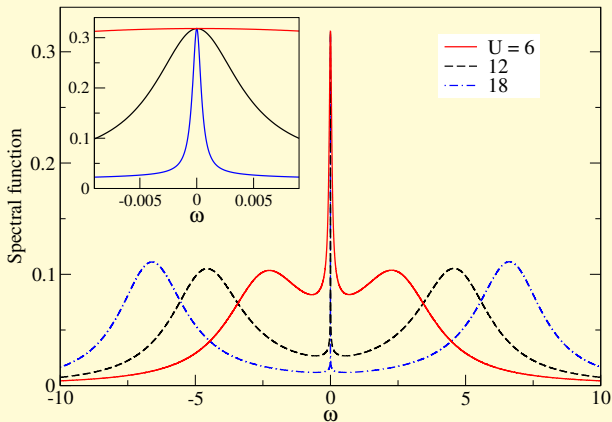
$$\chi^T \doteq \frac{2}{a} \int_{-\infty}^0 \frac{d\omega}{\pi} \Im [G(\omega)^2]$$

$$\chi \doteq -\frac{2U}{a} \int_{-\infty}^0 \frac{d\omega}{\pi} \Im [G(\omega)^2 \chi(\omega)]$$

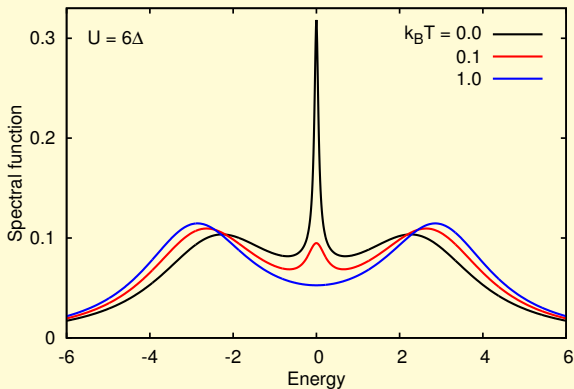
■ Specific heat coefficient: $\gamma = UZ/a$



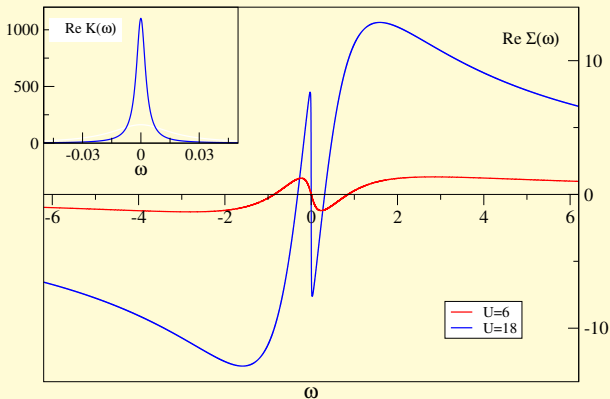
Spectral function of SIAM at half filling



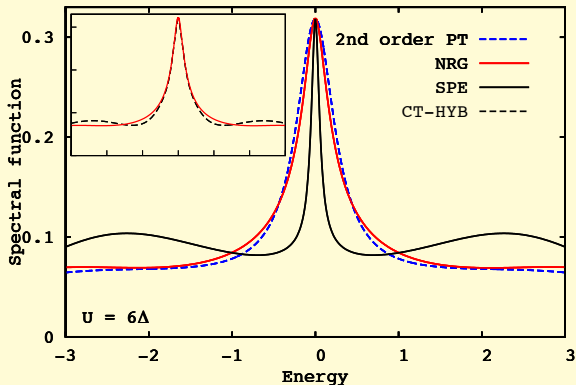
Spectral function of SIAM - temperature dependence



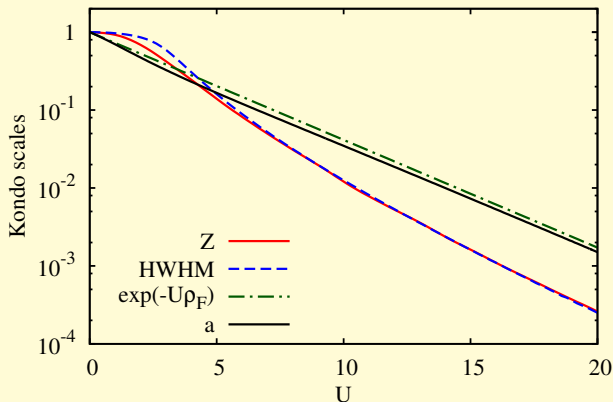
Self-energy and divergent vertex



Comparison with exact numerics



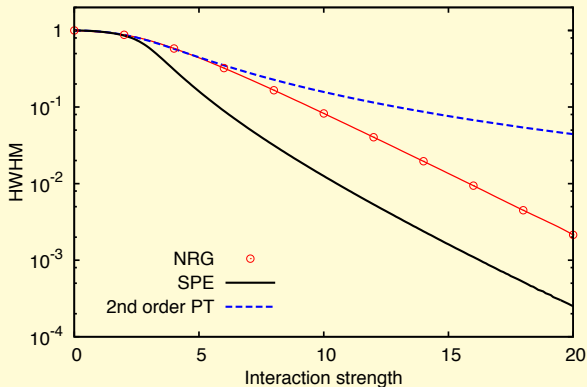
Exponential Kondo scales



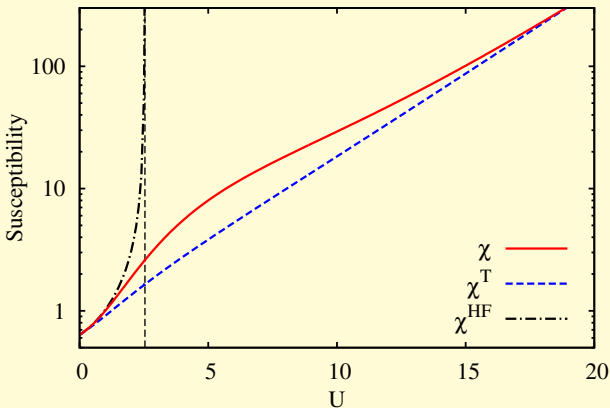
$$Z = [1 - \Sigma'(0)]^{-1}, \quad a = \Gamma(0)^{-1} = 1 - \lambda_0$$



Kondo scales compared with numerical simulations



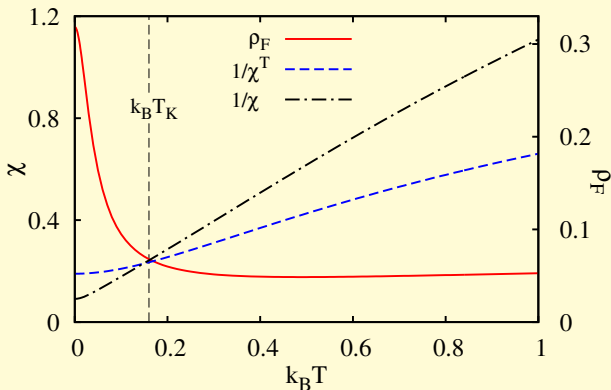
Magnetic susceptibilities



χ^{HF} - IPGF with the Hartree-Fock self-energy (BK construction)



Saturation of Curie-Weiss law



$$\chi(T) = \frac{C^2}{T + T_K}, \quad T_K \text{ from Bethe-ansatz solution}$$



Thermodynamic potential vs linearized WI 1

Baym thermodynamic construction: 1P approach

- Generating functional $\Phi[U, G]$
- Self-energy from stationarity equation:

$$\Sigma(\mathbf{k}, i\omega_n) = \frac{\delta\Phi[U, G]}{\delta G(\mathbf{k}, i\omega_n)}$$

with SC condition $G(\mathbf{k}, i\omega_n) = [i\omega + \mu - \epsilon(\mathbf{k}) - \Sigma(\mathbf{k}, i\omega_n)]^{-1}$

- **Two vertex functions:** SDE (microscopic) $\&$ WI (macroscopic)
- Two-particle vertex in $\&$ SDE without thermodynamic meaning
- Scheme breaks down beyond the critical points:
 - No way to circumvent singularities in vertices
 - Long-range order does not match singularity at vertex function

Thermodynamic potential vs linearized WI II

Linearized Ward identity: 2P approach

- Vertex generating the approximation: $\Lambda = (\Lambda_{\uparrow\downarrow} + \Lambda_{\downarrow\uparrow})/2$
- Thermodynamic (static) self-energy from *Ward identity*

$$\Sigma_{\sigma}^T(k) = \frac{1}{\beta N} \sum_{k'} \Lambda(k, k'; 0) G_{-\sigma}(k')$$
 - auxiliary to be used 1P propagators determining 2P functions
- Spectral (dynamical, beyond Hartree) self-energy $\Sigma(k)$ from *Schwinger-Dyson equation*
 - full dynamical structure, determines spectral properties
- *LRO matches the poles in the vertex functions*
 - qualitatively correct description of quantum criticality
- *Thermodynamic consistency* - critical behavior qualitatively the same from spectral & thermodynamic functions